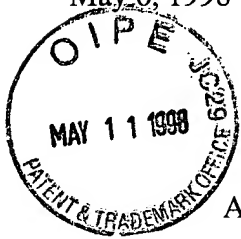


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DEB:AJC:kfd  
May 6, 1998

sector 1 \$ /  
PATENT APPLICATION Box  
Docket No.: GSF98-01A Seg



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Walter H. Günzburg, Robert M. Saller and Brian Salmons  
Serial No.: 09/035,596 Group: 1642  
Filed: March 5, 1998  
For: THE USE OF THE WAP OR MMTV REGULATORY SEQUENCES  
FOR TARGETED EXPRESSION OF LINKED HETEROLOGOUS  
GENES IN HUMAN MAMMARY CELLS, INCLUDING HUMAN  
MAMMARY CARCINOMA CELLS

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>May 6, 1998</u>	<u>Karen DiRocco</u>
Date	Signature
<u>KAREN DiROCCO</u>	
Typed or printed name of person signing certificate	

TRANSMITTAL OF DECLARATION/POWER OF ATTORNEY

Box Missing Part  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

MAY 15 1998

DEPT. OF COMMERCE  
PATENT & TRADEMARK OFFICE

Sir:

In response to the Notice to File Missing Parts dated March 18, 1998, enclosed herewith is an executed Declaration/Power of Attorney for filing in the captioned application. A copy of the Notice is attached together with a check in the amount of \$130.00 in payment of the surcharge. Although the Decision did not indicate that the

referenced application does not comply with the Sequence Rules, a Sequence Listing is also enclosed for filing in the application.

Please charge Applicants' Attorney's Deposit Account No. 08-0380 for any additional fees that may be due in this matter. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,



Anne J. Collins

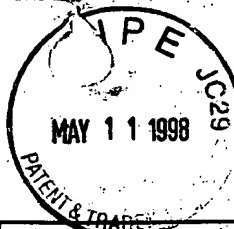
Registration No. 40,564

Telephone (781) 861-6240

Facsimile (781) 861-9540

Lexington, Massachusetts 02173

Date: *May 6, 1998*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY/DOCKET NO./TITLE
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09/035,596 03/05/98 GUNZBURG W GSF98-01

021005 0262/0318  
HAMILTON BROOK SMITH REYNOLDS  
TWO MILITIA DR  
LEXINGTON MA 02173-4799

NOT ASSIGNED

1642

DATE MAILED:

03/18/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☒ large entity ☐ small entity (verified statement filed), is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_; including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.301(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**